



# Structural style and neotectonic activity along the Harz Boundary Fault, northern Germany: a multimethod approach integrating geophysics, outcrop data and numerical simulations

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## Abstract

We present new evidence for neotectonic activity along the Harz Boundary Fault, a Cretaceous reverse fault that represents a key structure in northern Germany. For the fault analysis, we use a multimethod approach, integrating outcrop data, luminescence dating, shear wave seismics, electrical resistivity tomography (ERT) and numerical simulations. A recent sinkhole at the SSW-ward dipping and WNW–ESE striking Harz Boundary Fault exposes a NNE-ward dipping and WNW–ESE striking planar fault surface that cuts through unconsolidated debris-flow deposits thus pointing to young Lateglacial tectonic activity. The fault shows a polyphase evolution with initial normal fault movement and a later reactivation as an oblique fault with reverse and strike-slip components. A shear wave seismic profile was acquired to analyse the geometry of the fault and show that the Harz Boundary Fault is steeply dipping and likely has branches. Partly, these branches propagate into overlying alluvial-fan deposits that are probably Pleniglacial to Lateglacial in age. The outcrop data in combination with the seismic data give evidence for a splay fault system with steep back-thrusts. One of these back-thrusts is most likely the NNE-ward dipping fault that is exposed in the sinkhole. The lateral extent of the fault was mapped with electrical resistivity tomography (ERT) profiles. The timing of fault movement was estimated based on optically stimulated luminescence dating of the faulted debris-flow deposits using both quartz and feldspar minerals. Consistent feldspar and quartz ages indicate a good bleaching of the sediment prior to deposition. The results imply fault movements post-dating ~ 15 ka. Numerical simulations of glacio isostatic adjustment (GIA)-related changes in the Coulomb failure stress regime at the Harz Boundary Fault underpin the assumption that the fault was reactivated during the Lateglacial due to stress changes induced by the decay of the Late Pleistocene (Weichselian) Fennoscandian ice sheet.

**Keywords** Harz Boundary Fault · Neotectonics · Northern Germany · Glacial isostatic adjustment (GIA) · Electrical resistivity tomography (ERT) · Shear wave seismics · Luminescence dating · Numerical simulations · Lateglacial

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## Introduction

The analysis of the neotectonic activity of northern Germany is challenging, because fault scarps that could point to past seismic events are rarely developed due to the low slip rates and climate conditions (Kaiser 2005). In general, this region is commonly regarded as a low seismicity area (Leydecker and Kopera 1999). However, there is evidence for several seismic events during the last 1200 years (Leydecker 2011). In addition, the palaeoseismological studies that were carried out in northern Germany (e.g., Hübscher et al. 2004; Brandes and Tanner 2012; Hoffmann and Reicherter 2012; Brandes et al. 2011, 2012; Brandes and Winsemann 2013; Brandes et al. 2018a; Pisarska-Jamrozý et al. 2018, 2019; Grube 2019a, b) also point to a higher seismic activity than previously thought. Al Hseinat and Hübscher (2017) recently found faults in the Baltic Sea, which dissect Pleistocene deposits and therefore point to young tectonic activity. This questions the status of a low seismicity area and its earthquake hazard. For a profound seismic hazard assessment, it is thus necessary to re-evaluate the past seismic activity and tectonic movements as well as the probability of earthquakes in northern Germany.

Collisional forces from the Alpine orogeny and the Atlantic ridge push affect the stress field in northern Germany (Marotta et al. 2001, 2002, 2004; Kaiser et al. 2005). Evidence for active faults in northern Germany is rare but recent tectonic earthquakes were recorded e.g., east of Hamburg in 2000 (magnitude of  $M_w$  3.1; Bock et al. 2002) and in the Leipzig/Halle area in 2015 and 2017 (magnitude of  $M_w$  3.2 and 2.8; Dahm et al. 2018). Many of the historic earthquakes concentrate along major Cretaceous reverse faults and were possibly triggered by lithospheric stress changes due to glacial isostatic adjustment (Brandes et al. 2015). The approximately 90 km long Harz Boundary Fault (Fig. 1) is one of these Cretaceous reverse faults and represents a key structure in northern Germany. There is a controversial discussion about the nature of the Harz Boundary Fault. Two different interpretations co-exist, a wrench fault system and a frontal thrust model (Wrede 1988, 2008, 2009 and Voigt et al. 2009). The frontal thrust model is meanwhile well supported by field evidence from investigations along the Harz Boundary Fault (Flick 1986; Franzke et al. 1995; Kley et al. 2008). More recently, Paul (2019) discussed the role of dissolution and migration of Zechstein salt for basin-wide subsidence and uplift in the Harz foreland area and the Harz Mountains.

The aim of this study is to visualize the structural style of the fault zone at the northern Harz boundary with shear wave seismic and electrical resistivity tomography (ERT) profiles and to analyse the neotectonic activity of the fault.

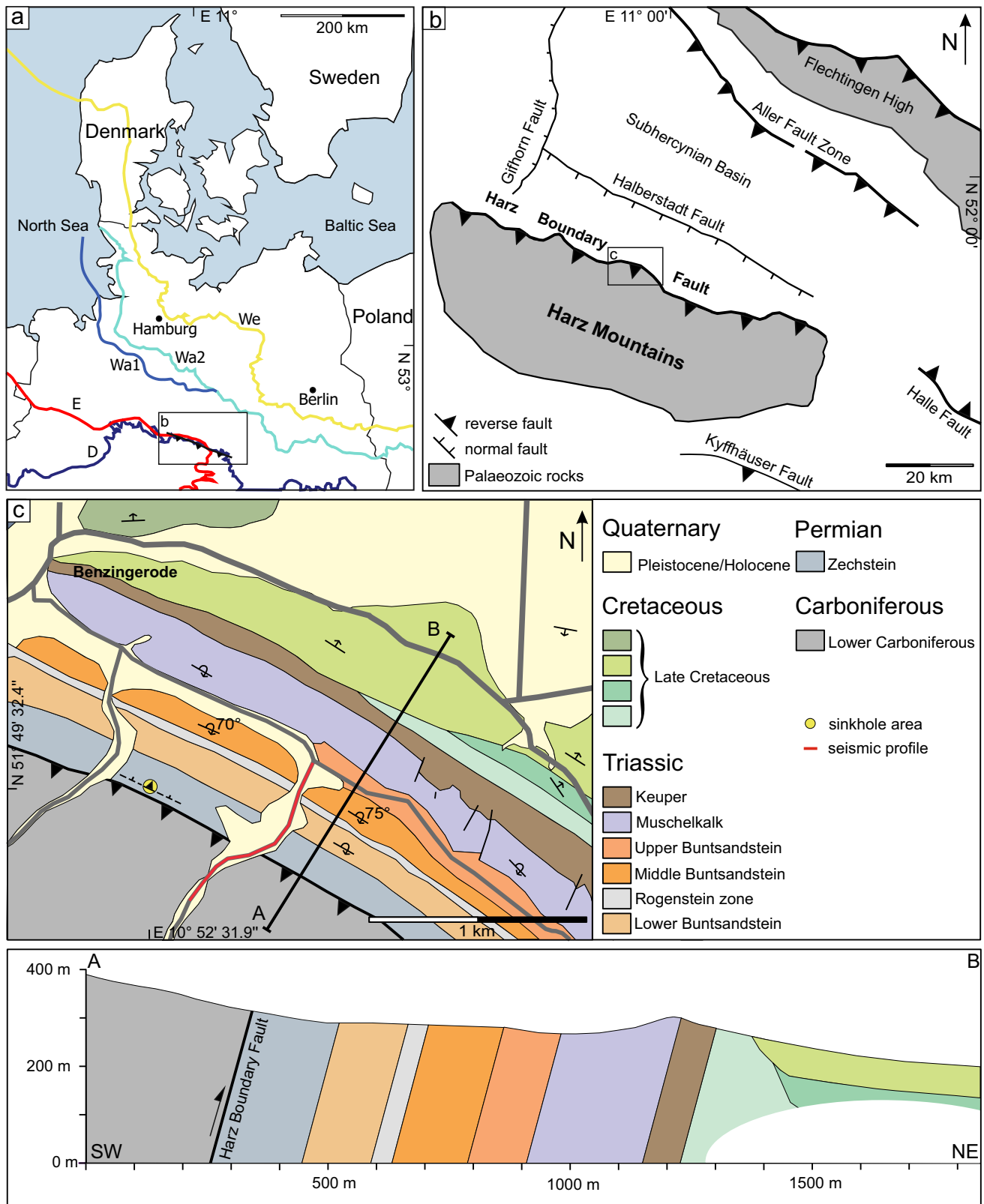
Tectonic evidence of young fault movements along the Harz Boundary Fault were already published by Franzke et al. (2015). The study benefits from a recent sinkhole at the Harz Boundary Fault, which exposes a NNE-ward dipping and WNW–ESE striking planar fault plane with several slip surfaces that cuts through unconsolidated debris-flow deposits (Franzke et al. 2015). In this study, we use an expanded dataset. We image the faults in a shear wave seismic profile and mapped the lateral extent of the fault in the sinkhole using electrical resistivity tomography (ERT) profiles. We estimate the timing of fault activity by means of luminescence dating of faulted periglacial debris-flow deposits and use numerical simulations of glacial isostatic adjustment (GIA)-related changes of Coulomb failure stress to support the estimated ages.

## Geological setting

The study area is located in northern Germany and belongs to the Subhercynian Basin, which lies directly north of the Harz Mountains and forms a subbasin of the Central European Basin System (CEBS) (Fig. 1a, b). The geological evolution of northern Germany is characterized by different tectonic processes (Lohr et al. 2007; Kley et al. 2008). In Permian and Triassic times, E–W transtension/extension took place, which was followed by NNE–SSW extension in the Jurassic to Early Cretaceous. The Late Cretaceous is characterized by an NE–SW to NNE–SSW compression. The Late Cretaceous contraction lasted into the Paleogene (Lohr et al. 2007). This led to crustal shortening in the study area and as a result, local thrust-related basement uplifts such as the Flechtingen High and the Harz Mountains formed. In addition, a widespread reactivation of faults occurred. This tectonic inversion phase was discussed in detail by Baldschuhn et al. (1991), Kockel (2003), Kley and Voigt (2008) and Kley et al. (2008).

## The Harz Boundary Fault and the Subhercynian Basin

A key element of the Late Cretaceous inversion phase and intraplate deformation in northern Germany is the Harz Boundary Fault that separates the Palaeozoic rocks of the Harz Mountains from the Mesozoic sedimentary rocks of the Subhercynian Basin (von Eynatten et al. 2008; Voigt et al. 2008) (Fig. 1c). Modelling results of Kaiser et al. (2005) predict a modern fault slip rate of 0.08 mm/year for the Harz Boundary Fault. The Subhercynian Basin trends WNW–ESE and is approximately 100 km long and 50 km wide. It is bounded to the SSW by the Harz Boundary Fault and to the NNE by the Flechtingen basement high (Stackebrandt 1986). The basin has a twofold evolution that started with extensional movements in the early Permian. Extension prevailed



**Fig. 1** Location of the study area in northern Germany; **a** maximum extents of the Elsterian (E), Saalian (D: Drenthe; Wa1: Warthe 1 and Wa2: Warthe 2) and Weichselian (We) ice sheets are shown in red, light- and dark-blue and yellow (modified after Ehlers et al. 2011;

Roskosch et al. 2015; Lang et al. 2018; Winsemann et al. 2020); **b** close-up view of the study area showing major fault systems; **c** geological map of the study area with geological cross-section (modified after Schröder et al. 1927)

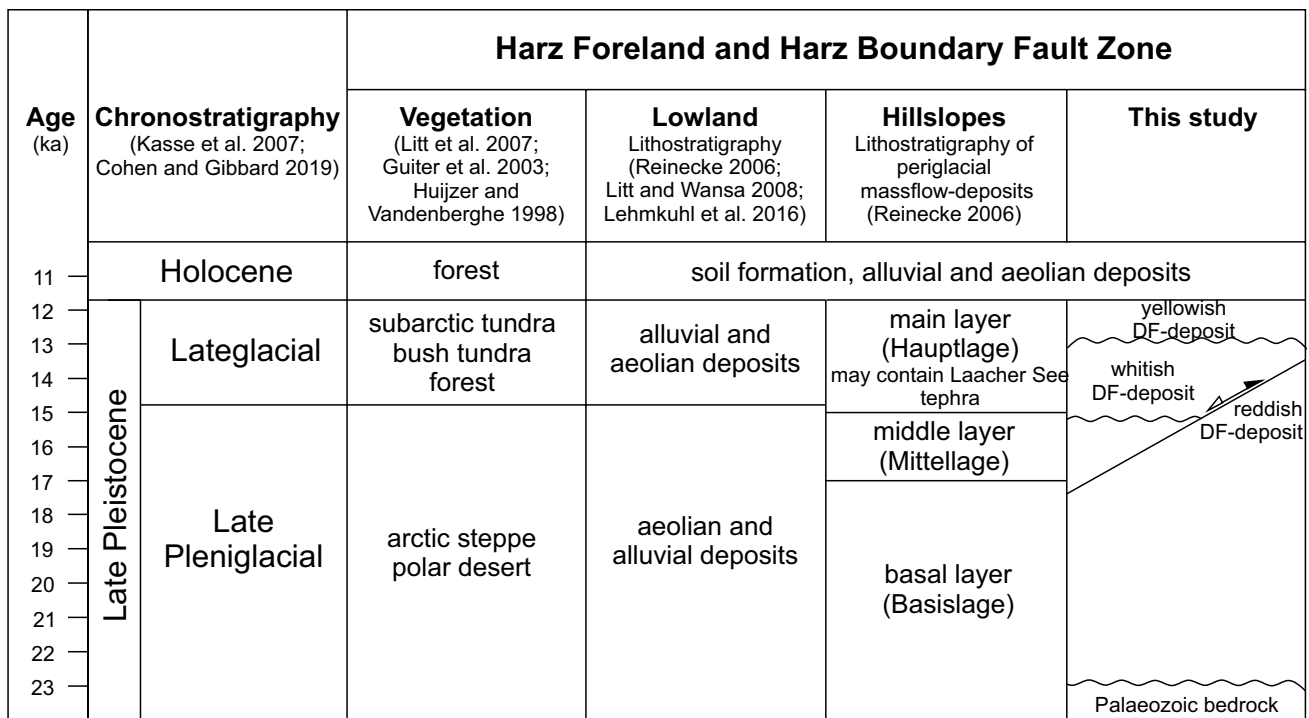
throughout the Mesozoic. In Late Cretaceous times, the Subhercynian Basin was transformed into a kind of foreland basin controlled by the overthrusting of the Harz basement block (Franzke et al. 2004; Voigt et al. 2006; Kley et al. 2008; Brandes et al. 2013; Tanner and Krawczyk 2017).

**Pleistocene deposits**

During the Pleistocene, northern Germany was influenced by three major ice advances referred to as the Elsterian, Saalian and Weichselian glaciations. The Harz foreland was affected by the Elsterian and Saalian glaciations (Fig. 1a; Reinecke 2006; Ehlers et al. 2011; Lang et al. 2018, 2019). The Elsterian ice advances probably reached the study area during Marine Isotope Stages MIS 12 and 10 (Roskosch et al. 2015), leaving meltwater sediments and two Elsterian tills (Feldmann 2002; Elsner 2003; Lang et al. 2012). Subsequently fluvial sediments were deposited (Weymann et al. 2005; Reinecke 2006). These fluvial deposits are covered by Saalian glacial sediments (Weymann et al. 2005), which are probably MIS 6 in age (Litt et al. 2007; Lang et al. 2018, 2019).

During the Late Pleistocene Weichselian glaciation, the ice sheets did not cross the Elbe River (Ehlers et al. 2011) and periglacial conditions prevailed in northwestern and central Germany (Reinecke 2006; Kasse et al. 2007; Litt et al. 2007; Meinsen et al. 2014; Lehmkuhl et al. 2016). Periglacial Late Pleniglacial to Lateglacial deposits in

the study area consist of alluvial, mass-flow and aeolian deposits (Fig. 2) (Bode et al. 2003; Weymann et al. 2004; Reinecke 2006; Litt and Wansa 2008; Krauß et al. 2016; Lehmkuhl et al. 2016). On hillslopes, different mass-flow deposits accumulated that are commonly referred to as periglacial cover beds (“periglaziale Deckschichten”; Reinecke 2006; Litt and Wansa 2008). These periglacial mass-flow deposits are generally subdivided into three main depositional units referred to as basal layer (“Basislage”), middle layer (“Mittellage”) and main layer (“Hauptlage”) (Reinecke 2006; Litt and Wansa 2008; Bullmann 2010). The basal layer directly overlies bedrock and is commonly characterized by a high clast content. The middle layer is partly rich in reworked loess. The main layer forms the top of these periglacial mass-flow deposits and may contain Laacher See tephra. The main layer is partly overlain by loess (Fig. 2). However, not all depositional units are always present. In general, the age of these mass-flow deposits is poorly constrained and based on an uncertain correlation with dated deposits of the Harz foreland area (Fig. 2; Reinecke 2006; Litt and Wansa 2008; Bullmann 2010). The main layer probably formed during the younger Dryas, as indicated by the presence of reworked Laacher See tephra (Reinecke 2006; Bullmann 2010), which is a marker horizon for the late Allerød (van den Bogaard 1995; Reinecke 2006). During the Holocene, a stabilizing vegetation cover rapidly formed on hillslopes (Litt et al. 2007), preventing further mass-flow events.



**Fig. 2** Stratigraphy of Late Pleistocene periglacial deposits. *DF* Debris flow

## Sinkholes

At the southern margin of the Subhercynian Basin, north of the Harz Boundary Fault, several sinkholes occur due to dissolution processes in the steeply dipping sulphate rocks that belong to the Zechstein Werra sequence (Schröder and Dahlgrün 1927; Franzke et al. 2015). Approximately 30 m north of the Harz Boundary Fault a recent sinkhole (likely developed around the year 2013) (N51° 49' 32.4" E10° 52' 31.9"), exposes a NNE-ward dipping and WNW–ESE striking planar fault plane with several slip surfaces (Figs. 1c, 3). This fault displaces two debris-flow deposits that differ in colour and composition (Fig. 3). The fault tip is sealed by a younger thin debris-flow deposit.

## Methods

This study is based on outcrop data, electrical resistivity tomography (ERT) profiles, a high-resolution shear wave seismic profile, luminescence dating, and numerical simulations. Field work included sedimentological and structural analyses of outcrops and sampling for luminescence dating (Fig. 3a). The luminescence dating was performed at the Leibniz Institute for Applied Geophysics (LIAG).

### Electrical resistivity tomography (ERT)

Four electrical resistivity tomography (ERT) profiles were acquired to map the lateral extent of the NNE-dipping fault exposed in the sinkhole. The ERT method is very suitable to detect near-surface faults (e.g., Caputo et al. 2003; Vanneste 2008; Gélis et al. 2010).

The profiles are 40 m (profile 1) and 30 m (profiles 2, 3, 4) long and trend ~NE–SW, perpendicular to the Harz Boundary Fault and to the fault in the sinkhole (Fig. 4). Profiles 1–3 were measured NW of the sinkhole. Profile 4 was measured SE of the sinkhole (Fig. 4). Electrodes were spaced at a distance of 0.5 m to resolve small and shallow structures. GPS positions of the electrodes were acquired using a total station and provided accurate elevations for the inversion process that reconstructs the subsurface resistivity. To incorporate the rough topography, we used the finite-element method with an irregular triangle mesh implemented in the software BERT (Günther et al. 2006).

For the ERT measurements, we applied the dipole–dipole array that provides the best resolution and the Wenner array for deep penetration. We combined several base dipole lengths ( $a = 1, 2, 4$  and  $8$ ) and dipole separations of  $n = 1$  through  $6$ . The resulting high-quality data could be fitted with root-mean-square errors between 2 and 4%.

Samples were taken from debris-flow DF-1 and DF-2 to measure their resistivities in the laboratory. The sediments

were inserted in a sample holder and measured under different water saturations using a 4-point light 10 W Lippmann device.

### Shear wave seismics

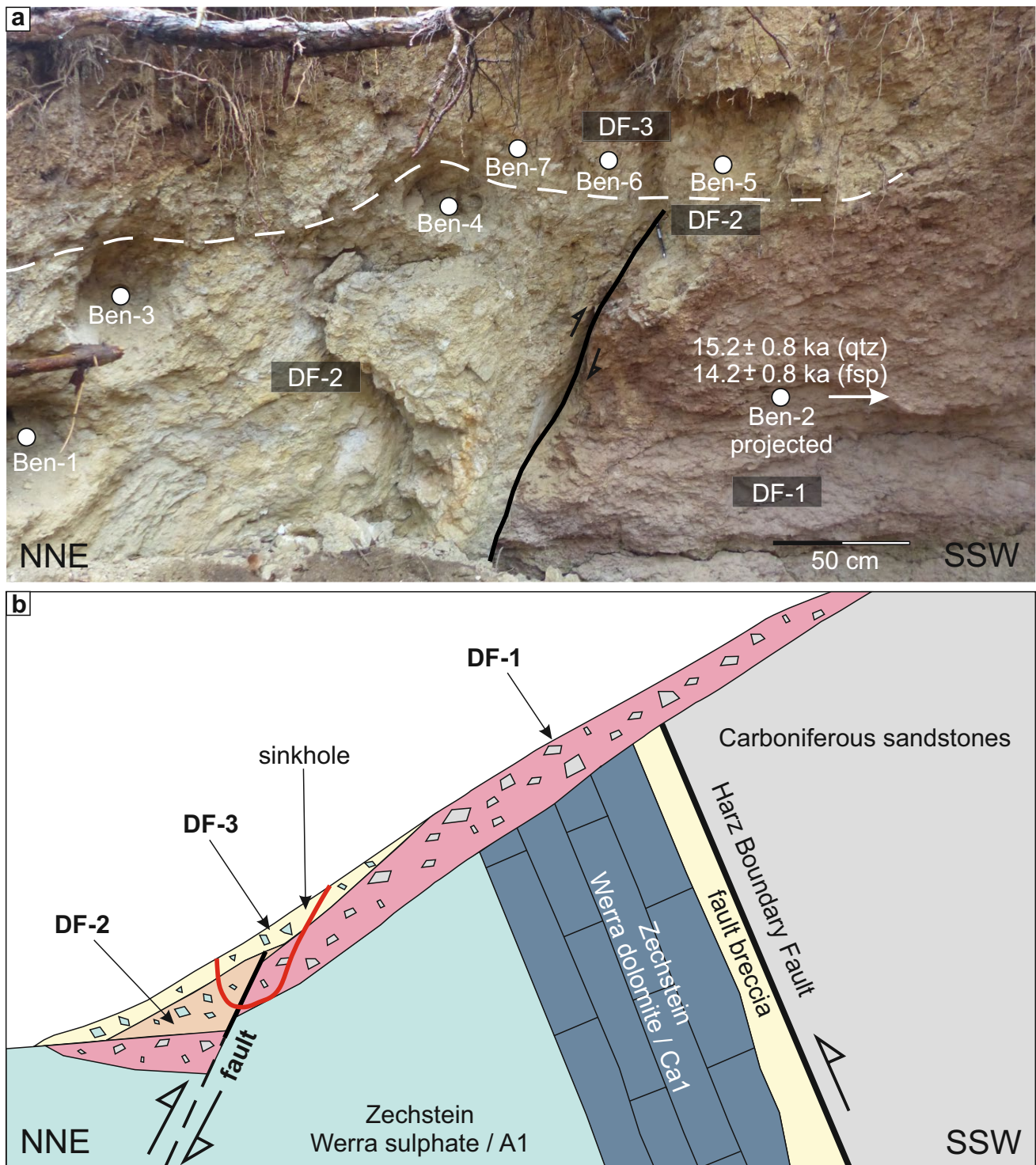
One shear wave seismic reflection profile was acquired to analyse the shallow subsurface structure of the Harz Boundary Fault zone in high resolution. The profile is approximately 1 km long and oriented perpendicular to the Harz Boundary Fault (Figs. 1c, 4). The shear wave vibroseis method (Crawford et al. 1960; Ghose et al. 1996; Polom et al. 2013), using a linear frequency modulated seismic source signal of 20–160 Hz over 10 s duration, was applied. For a fast data acquisition in high resolution, a land streamer unit with 120 transverse horizontal (SH) geophones (10 Hz resonance frequency) at 1 m interval and an electrodynamic-driven SH shaker source system was used (Polom et al. 2011). The achieved maximum target depth of the SH waves is nearly 70 m. The recording configuration was a so-called asymmetric varying split-spread setup. Two sweeps with alternating polarity were initiated at each source location.

In contrast to the commonly used compression waves (P-waves) of exploration seismics, shear waves only propagate in solid material. Shear waves cannot propagate in liquids or gases of the pore space, where the shear modulus is zero. Therefore, e.g., the groundwater level does not affect the shear wave propagation, resulting in significantly lower velocities and an improved resolution compared to P waves. On the other hand, as result of the low velocities, the penetration depth is significantly lower compared to P waves.

The acquired seismic data underwent a standard processing procedure using the VISTA software (version 10.028, Schlumberger). During the processing, first a quality assessment, a geometry fitting and a vibroseis correlation were carried out. The next steps of the processing procedure were the vertical stacking of records, an automatic gain control for amplitude scaling and the application of band pass and frequency-wavenumber (FK) filters. Afterwards interactive velocity analysis, common mid-point (CMP) stacking, and a finite-difference (FD) time migration were applied. The last step of the processing was the time-to-depth conversion using a data-driven 2D velocity function.

### Sampling

Seven samples were taken from the faulted sediments, which are exposed in the sinkhole (N51° 49' 32.4" E10° 52' 31.9"), close to the Harz Boundary Fault (Figs. 1c, 3). In general, debris-flow deposits are difficult to date (e.g., Döhler et al. 2018), especially carbonate-rich debris-flows that are poor in quartz and feldspar minerals. Therefore, as many samples

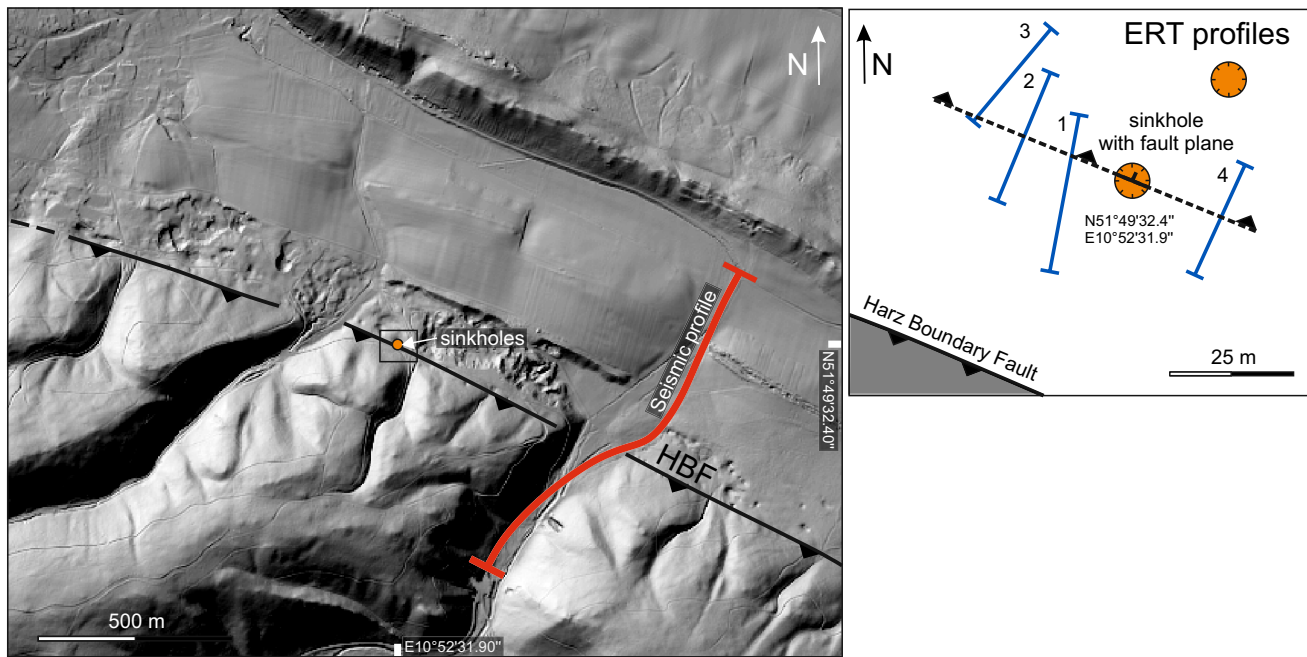


**Fig. 3** Sinkhole above the Zechstein Werra-evaporites; **a** fault surface in Lateglacial debris-flow deposits and location of OSL samples; **b** schematic profile of the Harz Boundary Fault zone near Benzingerode with the location of the sinkhole (based on Franzke et al. 2015)

as necessary were taken to obtain a good coverage of the debris-flow deposits.

One sample (Ben-2) was taken from the basal reddish debris-flow (DF-1) in the footwall south of the exposed

fault trace. Three more samples (Ben-5, Ben-6, Ben-7) were taken from the hanging-wall block north of the fault surface (lower whitish debris-flow deposit; DF-2). Three further samples (Ben-1, Ben-3, Ben-4) are derived from the hanging-wall block north of the fault surface (lower whitish debris-flow deposit; DF-2). Three further samples (Ben-5, Ben-6, Ben-7) were taken from the



**Fig. 4** Hill-shaded relief model of the study area showing the Harz Boundary Fault (HBF) (between the localities of Benzingerode and Heimburg), seismic and ERT profiles and the sinkhole location. The

DEM is based on data from the Landesamt für Vermessung und Geo-information Sachsen-Anhalt (GeoBasis-DE/LVermGeo LSA, 2016) (1 m grid, vertical resolution:  $\pm 0.2$  m)

yellowish debris-flow (DF-3) that seals the fault tip (Fig. 3a). The sample material of Ben-1 was too coarse-grained for luminescence dating and thus not enough material of the required grain-size was obtained during sample preparation.

During the sampling procedure, opaque metal tubes with a length of 10 cm and a diameter of 5 cm were hammered into the freshly cleaned sediment surface and closed with aluminum foil to avoid light exposure. Additionally, samples (700 g) for dose rate determination were taken from the surrounding sediment at each sample position.

### Luminescence dating

For age determination of the fault movements, we performed luminescence dating on fine-grained (4–11  $\mu\text{m}$ ) quartz minerals and polymineralic material for feldspar measurements. Additional technical information about the method is given in the supplementary files.

### Numerical simulations

To analyse the glacially induced fault reactivation potential, numerical simulations were carried out in form of three-dimensional (3D) finite-element (FE) simulations that describe the process of GIA together with Coulomb failure stress (CFS) calculations. Input parameters to a GIA model are generally (i) the Earth's structure and rheology in the subsurface down to the core-mantle boundary and

(ii) the ice load history of the last glaciation. Our models are based on the flat-Earth approach described Wu (2004), Steffen et al. (2006) and Brandes et al. (2018b). The FE software ABAQUS (ABAQUS 2018) is applied to create a 3D earth model with a size of 60,000 km  $\times$  60,000 km (horizontally)  $\times$  2891 km (depth to core-mantle boundary). A centre block of 4500 km  $\times$  4500 km  $\times$  2891 km size represents our study area, the lithosphere and mantle of Fennoscandia, while the frame allows mantle material to flow outside this area minimizing any associated numerical errors (Steffen et al. 2006). The element mesh in the centre has 50 km horizontal side length, while the frame has increasing side lengths from the centre to the edge to save run time and memory. In the vertical the model is subdivided in 18 layers of different thickness, whereas (model-dependent) 8 or 9 element layers build up the elastically behaving lithosphere. Here, the first six layers have 5 km thickness each for a more detailed analysis of the stress changes in the upper lithosphere. The visco-elastically behaving upper mantle down to 670 km has four or five element layers, and the lower mantle down to 2891 km depth five element layers. The Preliminary Reference Earth Model (PREM; Dziewonski and Anderson 1981) is applied to assign layer-dependent and volume-averaged values for density, shear modulus and Young's modulus. For further details, we refer the reader to Brandes et al. (2018b). Rigid boundary conditions fix the sides of the model. An ice history model is applied as ice thickness

variations over the last two glacial cycles on the element surfaces of the earth model centre.

The setups of the ice and earth model partly affect the results. To show the spread of possible results that may lead to a range of uncertainties of the modelling, we test a variety of Earth and ice model combinations that are based on results of previous GIA studies, which represent the GIA process in Fennoscandia reasonably well.

The timing of possible fault reactivation depends on the Earth model composition according to previous studies (Brandes et al. 2012, 2015, 2018b; Steffen et al. 2014b). Two types of models, with and without a laterally homogeneous structure, are used for numerical simulation. Laterally homogeneous models (i.e., they vary only with depth) are commonly used in GIA modelling. The basic model with laterally homogeneous structure has a lithospheric thickness of 90 km, an upper mantle viscosity of  $5 \times 10^{20}$  Pa s and a lower mantle viscosity of  $2 \times 10^{21}$  Pa s, but we additionally tested models that vary in one of these 3 parameters. Hence, we analysed four laterally homogeneous models. We also applied 140 km lithospheric thickness,  $8 \times 10^{20}$  Pa s upper mantle viscosity and  $2 \times 10^{22}$  Pa s lower mantle viscosity. All values represent viable estimates based on GIA studies of Fennoscandia (Lambeck et al. 2010; Zhao et al. 2012; Kierulf et al. 2014). Although these laterally homogeneous models can explain the GIA process in Fennoscandia well, they are not supported by seismic results that point to lateral variations in the deep subsurface. We thus additionally tested two models with a laterally heterogeneous structure. The models vary in lithospheric thickness (90 and 140 km) and use a three-dimensional (3D) mantle viscosity structure, which is converted from the seismic tomography model by Grand et al. (1997) based on the method in Wu et al. (2013). For the ice load history part, two different ice history models were used that are available to us and that are commonly applied in GIA studies. The first is the North-European part of the global ice model ICE-6G\_C (Argus et al. 2014; Peltier et al. 2015) while the other is a combination of the so-called ANU-ICE history models for the British Isles (Lambeck 1995) and Fennoscandia (Lambeck et al. 2010).

For each model combination, we calculate deformation and stress changes due to GIA with the FE software following the procedure outlined in Wu (2004). Then, we combine the GIA-induced stress changes with overburden and tectonic background stress to calculate the change in CFS ( $\delta$ CFS) for the location of our study area. We test a compressional (thrust/reverse) stress regime for all GIA models. The CFS can be regarded as the simplest form of indication of possible reactivation of a fault as it represents the minimum stress required to reach faulting. Steffen et al. (2014a) found that the crust was critically stressed before glaciation, which means that the CFS was about 0 MPa at that time. Hence, the  $\delta$ CFS, which is calculated relative to the CFS

before glaciation, shows that a fault is stable when  $\delta$ CFS is negative, while positive values indicate fault instability and potential fault movements. A  $\delta$ CFS value of 0 MPa (a zero line) thus represents the threshold that separates zones of instability ( $> 0$  MPa) and stability ( $< 0$  MPa). We apply a CFS of 0 MPa before glaciation and optimally oriented faults, i.e., their strike and dip values promote faulting for a commonly used friction coefficient of 0.6. For a compressional stress regime, strike values are thus perpendicular to the maximum horizontal direction of the chosen tectonic background stress, while the corresponding optimal fault dip is approximately  $30^\circ$ , respectively. We note that the Harz Boundary Fault strikes about WNW-ESE, which is almost in line with the maximum horizontal principal stress that is suggested from the World Stress Map (Heidbach et al. 2016). Therefore, the fault cannot be initially considered as optimally oriented for the tectonic background regime. However, GIA stresses can overprint tectonic stress fields and lead to rotation of principal stress directions (Wu 1997) so that a fault orientation close to optimal is possible. This is especially the case for small differences in the horizontal components of the principal stresses (Wu 1997) and thus important to consider for faults near the surface since GIA generates additional stresses of some 10 MPa (Wu 1997) contributing here largely to the overall stress budget.

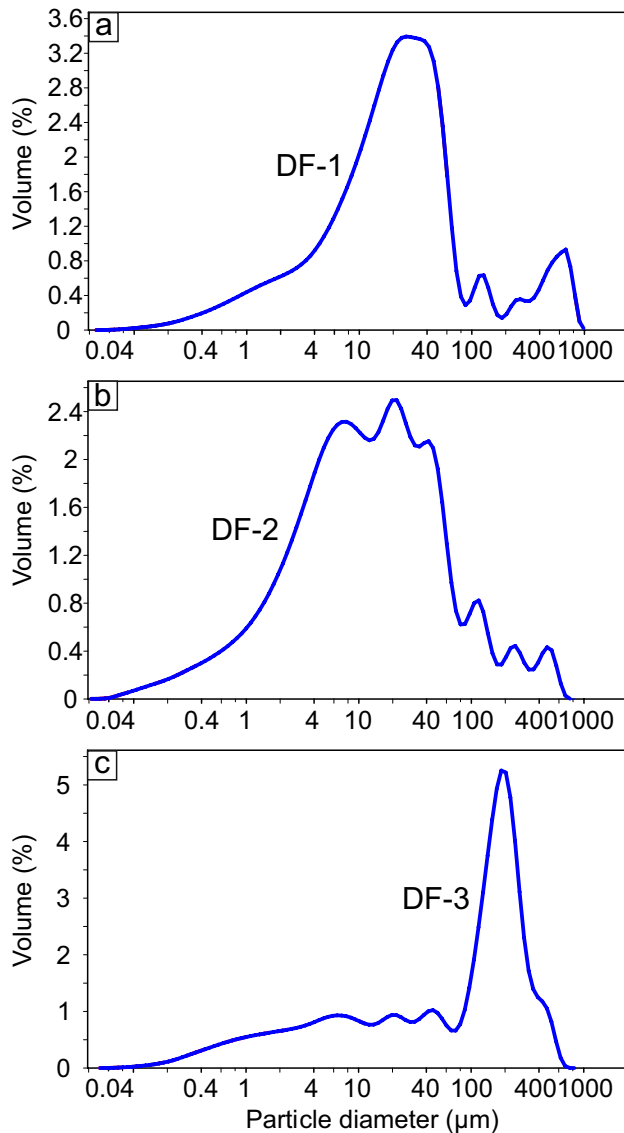
Non-optimally oriented faults could also be activated under certain conditions. This is not part of this investigation, i.e., as this involves testing a large set of parameter combinations (strike and dip of the fault, depth, principal stress directions and their stress differences, friction parameter, pore fluid factor) and is thus left for future studies. We investigate the  $\delta$ CFS at a depth of 12.5 km for a thrust fault regime.

## Results

### Sedimentology

The sinkhole, in which the fault is exposed, formed in the steeply dipping Zechstein Werra-sulphates that belongs to the Zechstein Z1 sequence (Schröder et al. 1927; Franzke et al. 2015), approximately 30 m north (Figs. 1c, 4) of the Harz Boundary Fault. The position of the Harz Boundary Fault is taken from the geological map (scale 1:25 000) (Schröder et al. 1927). According to Schröder and Dahlgrün (1927), the position of the Zechstein rocks can be determined with high accuracy and are almost overlain by debris-flow deposits in this area. The sinkhole has a depth of 2 m and a diameter of 3 m and exposes three different debris-flow deposits (Fig. 3a), which differ in color, matrix and clast composition (Fig. 5a–c).





**Fig. 5** Grain-size distribution curves of the debris-flow matrix; **a** basal reddish debris-flow (DF-1; sample Ben-2); **b** whitish debris-flow (DF-2; sample Ben-3); **c** yellowish debris-flow (DF-3; sample Ben-6) that seals the tip of the fault

The basal debris-flow deposit (DF-1) has a reddish silty matrix (Fig. 5a) and contains 60–70% angular greywacke clasts. This reddish debris-flow deposit is overlain by a whitish debris-flow deposit (DF-2) that contains 80% angular Zechstein clasts, embedded in a silty carbonaceous matrix (Fig. 5b). These two debris-flow deposits are displaced by the fault and are overlain by a yellowish debris-flow deposit (DF-3) that is ~30 cm thick and seals the fault. This uppermost debris-flow deposit has a silty to fine-grained sandy carbonaceous matrix (Fig. 5c) and contains 20% angular Zechstein clasts.

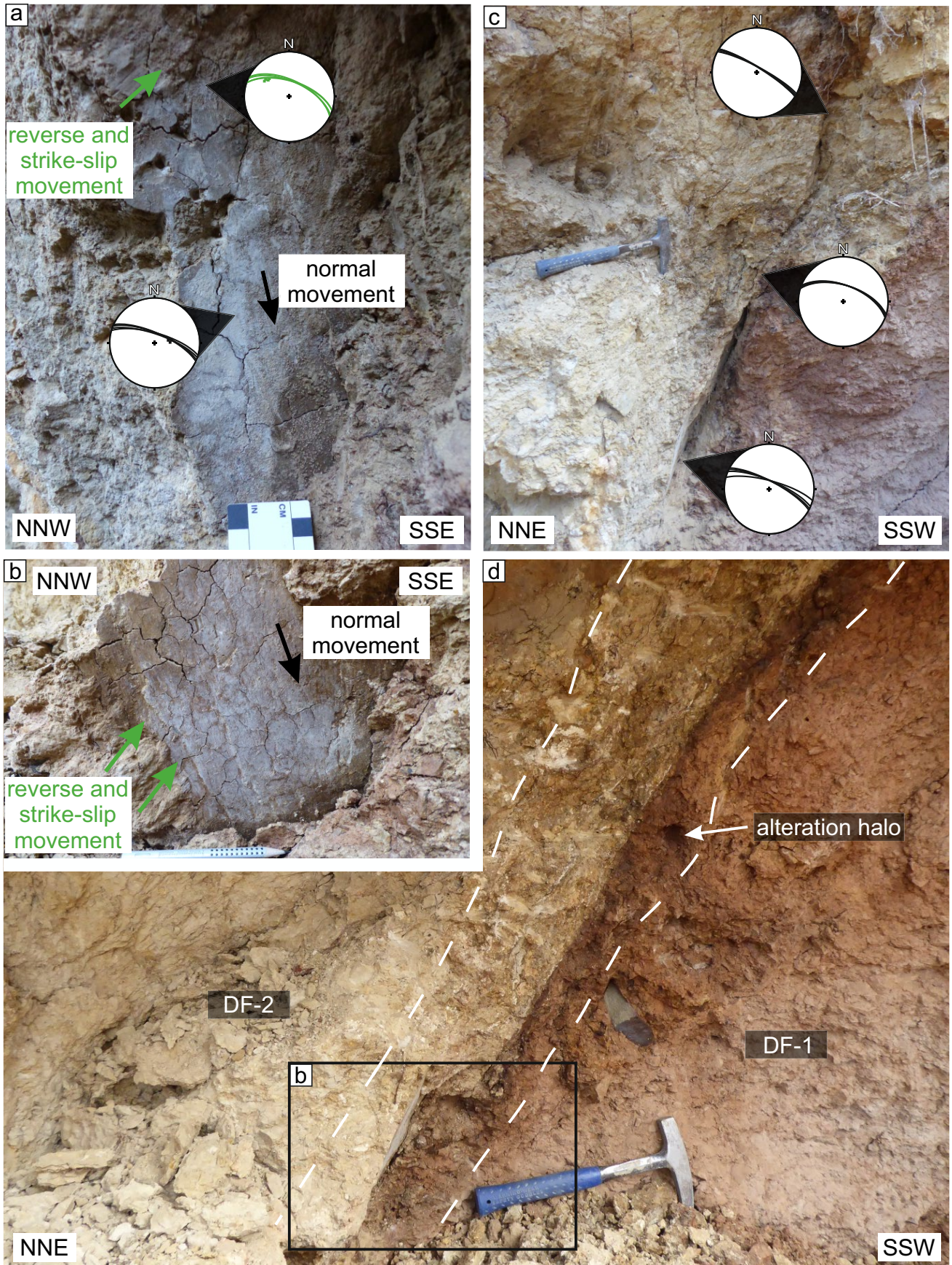
## Structural geology

In the lower two debris-flow deposits (DF-1 and DF-2), a NNE-ward dipping and WNW-ESE striking planar fault is developed (Figs. 3, 6). The limited outcrop situation in the sinkhole does not allow to determine the exact offset along the fault. The normal offset of the reddish debris-flow (DF-1) must have been at least 150 cm, because the hanging wall is not exposed in the sinkhole (Fig. 3). This normal fault offset was not fully compensated by the later reverse offset of the fault. At least 150 cm of normal offset remain after the reverse motion.

The fault is characterized by two small bends that separate the fault surface into three segments. The lower segment has an average dip of  $76^\circ$  and the middle segment has an average dip of  $60^\circ$ . In contrast, the upper segment shows a much steeper dip angle of  $80^\circ$  (Fig. 6c). Two sets of striations are developed on the fault surface (Fig. 6a, b). These striations indicate initial normal fault movements and a later reactivation of the fault as oblique fault with reverse and strike-slip components (Fig. 6a, b). Similar fault kinematics of this fault were described by Franzke et al. (2015). The tip of the fault is sealed by the third yellowish debris-flow deposit (DF-3). The outcrop reveals that the fault has a complex structure, with a 7–9 cm thick core that contains several thin slip surfaces, which are characterized by polished and striated surfaces (Figs. 6d, 7), similar to fault cores shown in e.g., Faulkner et al. (2011) or Shipton and Cowie (2003). In addition, the fault core is partly flanked by an alteration halo (Fig. 6d). This alteration halo can be interpreted as part of the fault damage zone, which contains near-field fault-related deformation (Vermilye and Scholz 1998; Shipton and Cowie 2003). The damage zone is likely the product of fault processes (cf. Kim et al. 2004).

## Electrical resistivity tomography (ERT)

Laboratory measurements show that the debris-flow material from the outcrop has high resistivities (1200  $\Omega\text{m}$  for DF-1 and 2000  $\Omega\text{m}$  for DF-2). This is caused by the very low water content of the samples (3–5 vol-%) due to the drying of the debris-flow deposits during the summer. As moisture was far below the expected in-situ conditions, we added some water to the samples resulting in about 20 vol% water. We then obtained similar resistivities slightly below 20  $\Omega\text{m}$  for both DF-1 and DF-2, so that they cannot be distinguished from each other. This is probably caused by their similar grain-size distribution and mineral composition. However, we expect secondary effects in electrical resistivity in the vicinity of the fault zone due to shearing or fracturing processes, which leads to variations in the porosity and thus to the water saturation of the deposits. Deposits with high porosity and thus a low water content are indicated by high



**Fig. 6** Structural data set of the exposed NNE-ward dipping fault in the sinkhole; **a** stereographic projections showing normal and oblique reverse fault kinematics; **b** fault surface with normal and oblique reverse kinematics; **c** stereographic projections of the normal fault component; **d** fault surface in debris-flow deposits with fault core and alteration halo

resistivities, whereas deposits with low porosity and higher water content show low resistivities. Furthermore, we expect a contrast to the underlying Zechstein sulphates (gypsum), which resistivity is expected to be higher ( $> 150 \Omega\text{m}$  for undissolved and  $50\text{--}100 \Omega\text{m}$  for moderately dissolved gypsum according to Drahor (2019).

### Interpretation

The basal parts of all profiles are characterized by low electrical resistivities, which are probably caused by a relatively high water content (cf. Ullrich et al. 2008) of debris-flow deposits DF-1 and DF-2, which have a fine-grained silty matrix (Fig. 5a, b). The central zone of higher resistivity ( $\sim 60\text{--}130 \Omega\text{m}$ ) runs parallel to the strike direction of the small NNE-ward dipping fault that is exposed in the sinkhole. We interpret this resistivity pattern (mostly visible on profiles 1 and 4) as the offset Zechstein sulphate (gypsum) that is located 3–4 m below the surface (see schematic profile of Fig. 3b), whereas it is hardly detectable on profiles 2 and 3. The initial normal movements brought the hanging-wall block north of the fault in a position below the penetration depth of the ERT. The near-surface part of the fault is characterized by a complex structure with a several centimetre-thick alteration halo and a fault core that contains several parallel slip surfaces (Figs. 6d, 7). This heterogeneity is probably reflected in the resistivity pattern. The part of the higher resistivities ( $\sim 60\text{--}130 \Omega\text{m}$ ) may represent the fault core that is characterized by sheared finer grained material with less fractures and voids that are not completely water-saturated.

The alteration halo likely has a higher water content caused by a higher fracture density. Therefore, the surrounding lower resistivity values ( $30\text{--}60 \Omega\text{m}$ ) are interpreted as the flanking fault damage zone (Fig. 8).

The high electrical resistivity values ( $130\text{--}600 \Omega\text{m}$ ) in the uppermost parts of the ERT profiles can be explained by a low water content and low compaction of the youngest debris-flow deposit DF-3, which has a coarser grained sandy to silty matrix (Fig. 5c).

### Shear wave seismic profile

The shear wave seismic profile was acquired and interpreted to image the near surface structure of the Harz Boundary

Fault (Fig. 9). The location of the seismic profile is shown in Figs. 1c and 4.

The profile runs roughly NE–SW and crosses the Harz Boundary Fault and the steeply dipping Palaeozoic-Mesozoic rocks of the foreland (Figs. 1c, 9a, b). It is approximately 1 km long and was acquired 500 m SE of the sinkhole (Figs. 1c, 4).

The steeply dipping, almost vertically oriented Permian and Triassic rocks represent a special challenge for seismic imaging. The  $70^\circ$  limit of the applied FD-migration (Yilmaz 1987) results in a limitation of the reflection seismic method to image steeply dipping structures. Due to the survey geometry, the vertical to steeply dipping beds north of the Harz Boundary Fault are not directly imaged. The fault traces and lithological units are interpreted based on reflector disruptions and secondary wavelet effects caused by changes in signature patterns.

### Seismic facies (SF)

Six different seismic facies (SF) can be distinguished in the seismic profile. These different seismic facies are characterized by using the external geometry and the internal reflector pattern (Fig. 10).

#### Seismic facies 1

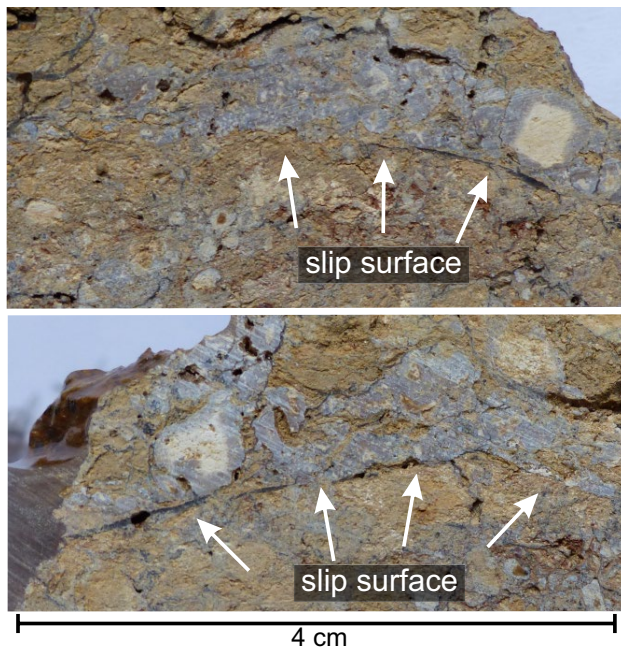
SF-1 is characterized by mainly horizontal to sub-horizontal parallel, continuous to partly discontinuous reflectors. Locally high-amplitude reflectors are developed and partly the reflectors are transparent. In the upper part, the parallel reflectors are more continuous and locally dip in two directions and form a slightly curved pattern.

#### Interpretation

Based on the geological map of the study area, the parallel reflectors with higher amplitudes are interpreted as Carboniferous sandstones (cf. Schröder et al. 1927). Parts of the shallower dipping Carboniferous sandstones produce a clear reflector pattern that can be interpreted following the standard seismic interpretation workflow (e.g., Brandes et al. 2011). The changes in impedance are a result of bedding planes and fractures.

#### Seismic facies 2

SF-2 is characterized by short discontinuous, thick and hummocky, partly weak to diffuse, and transparent low-partly high-amplitude reflectors.



**Fig. 7** Cross-section of the fault core material with slip surfaces

### Interpretation

The reflector pattern is characteristic for soluble Permian Zechstein rocks (e.g., Wadas 2016) interpreted as steeply dipping Zechstein sulphates (cf. Schröder et al. 1927) north of the Harz Boundary Fault.

### Seismic facies 3

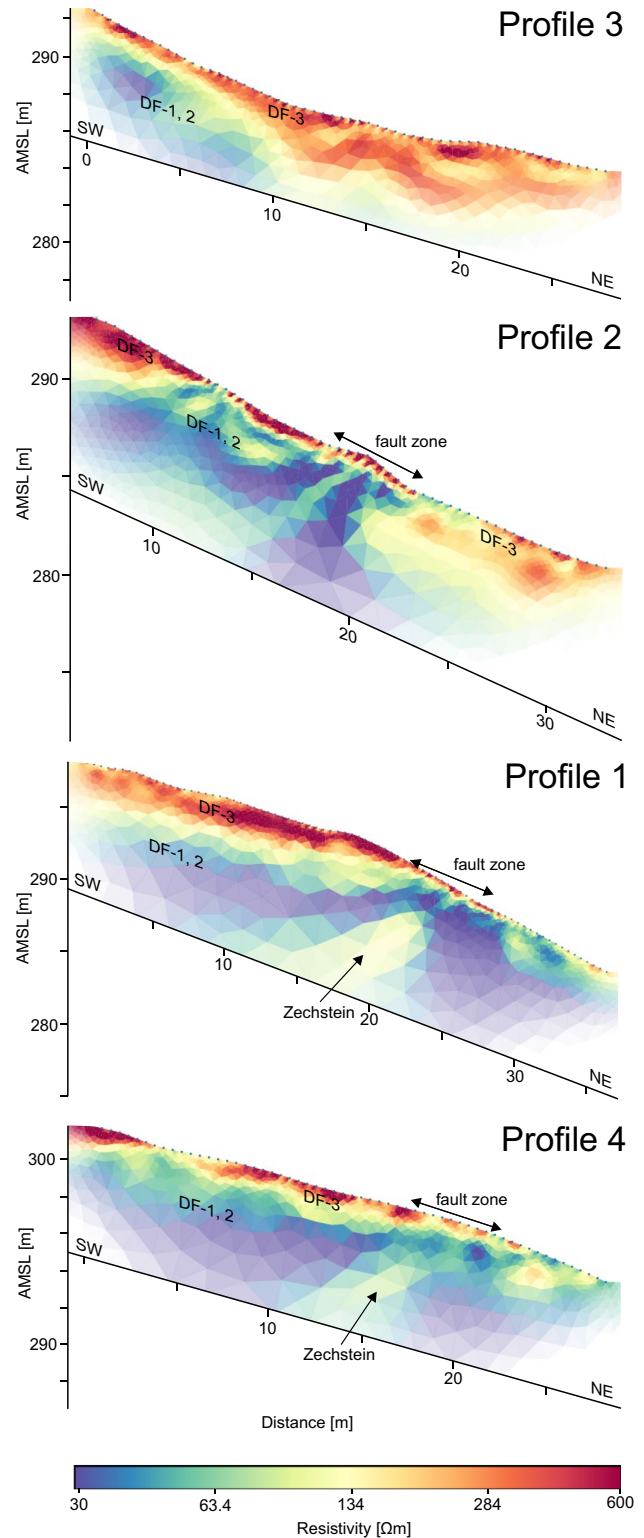
SF-3 is characterized by short hummocky, thick partly weak to diffuse discontinuous mostly high-amplitude reflectors.

### Interpretation

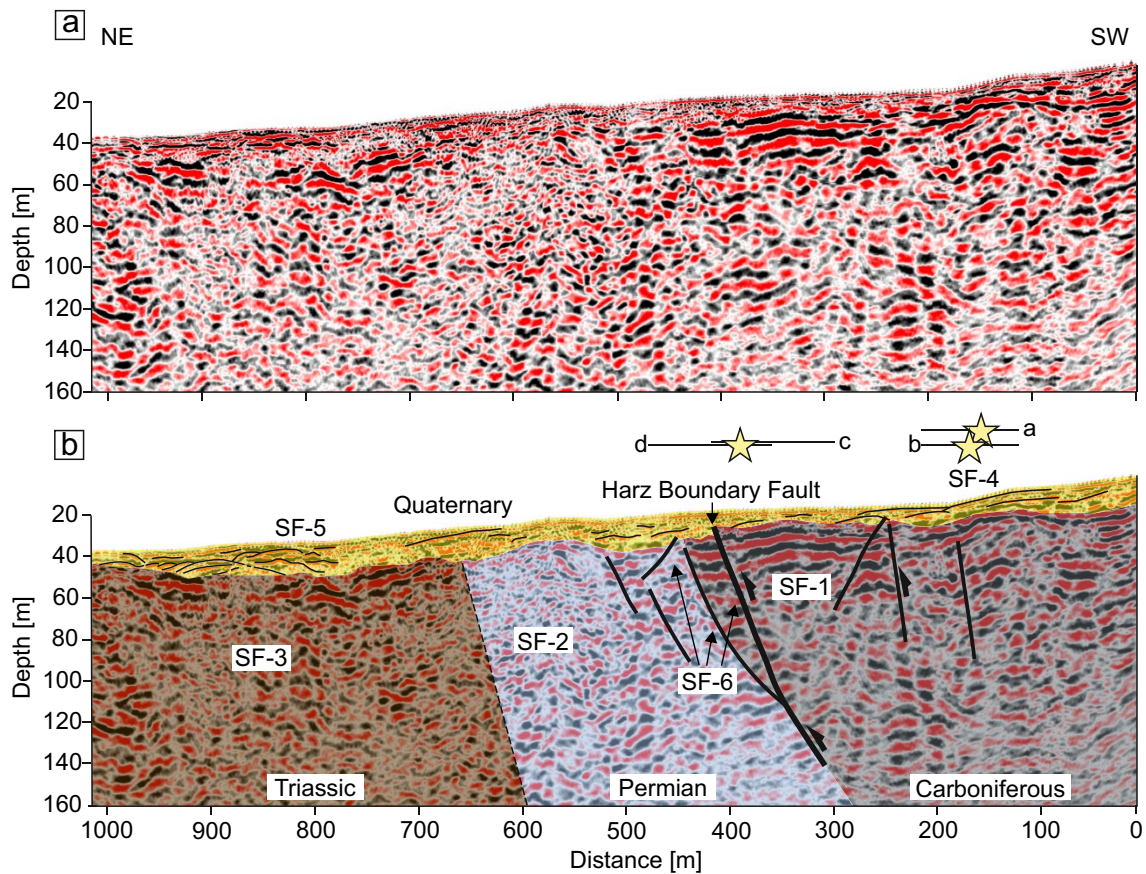
SF-3 represents the steeply dipping sedimentary rocks of the Buntsandstein north of the Harz Boundary Fault (cf. Schröder et al. 1927). Lithological changes within the Buntsandstein rocks, e.g., the intercalated Rogenstein zone (~ 820–785 m on the profile) produced the different reflector pattern.

### Seismic facies 4

SF-4 has a sheet-like external geometry and an internal mainly parallel, discontinuous to partly continuous closely spaced reflector pattern. Some of the reflectors are weak-to-slightly transparent. The lower boundary is erosive.



**Fig. 8** Measured ERT profiles. For location see Fig. 4. DF-1–DF-3 refer to debris-flow deposits exposed in the sinkhole (Fig. 3). Note that the alpha shading represents the coverage (sum of absolute sensitivity values) and thus indicates the reliability of the structures



**Fig. 9** Shear wave seismic profile. For location see Figs. 1c and 4; **a** uninterpreted section; **b** interpreted section. The yellow stars show the shot point locations (**a–d**) shown in Fig. 13

### Interpretation

Based on the information taken from geological maps (Schröder et al. 1927) and regional stratigraphic studies (Bode et al. 2003) the closely spaced reflector pattern represents proximal Pleistocene alluvial-fan deposits. The sheet-like, slightly inclined geometry points to fan-head aggradation that resulted either from unchanneled debris-flow or stream-flow depositions (cf. Blair and McPherson 2009; Ventra and Nichols 2014; Franke et al. 2015).

### Seismic facies 5

SF-5 has a mound-shaped external geometry and an internal concentric, mainly continuous to partly transparent reflector pattern. These mounds have a width of 20–100 m and are 3–13 m thick. They show an upslope-stepping, shingled stacking pattern that onlaps seismic facies SF-4. The lower boundary is characterized by partly discontinuous, slightly transparent and concave reflectors.

### Interpretation

The mound-shaped geometry of these deposits points to a mid- or lower fan-environment, where depositional lobes were deposited below the intersection point from stream-flows, sheetfloods or debris-flows. The downslope deposition indicates a phase of fan progradation (cf. Blair and McPherson 2009; Meinsen et al. 2014; Franke et al. 2015). The upslope-stepping lobes may indicate a subsequent phase of aggradation and fan-trench backfilling (e.g., Ventra and Nichols 2014; Meinsen et al. 2014).

### Seismic facies 6

SF-6 is characterized by mainly discontinuous short, sub-parallel high amplitude reflectors that show a regular offset pattern.

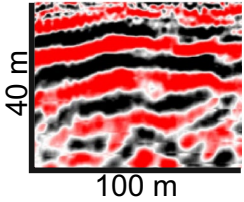
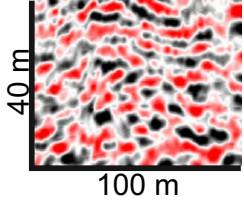
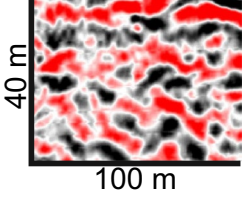
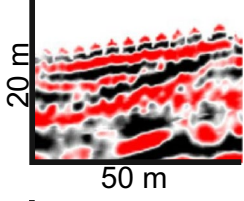
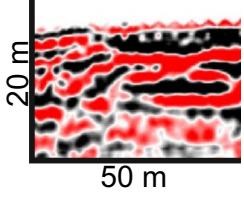
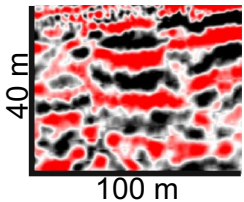
Seismic facies	Description	Geological interpretation
<p>SF-1</p> 	Parallel to sub-horizontal, continuous to partly discontinuous partly transparent, partly high-amplitude reflectors	Carboniferous sandstones
<p>SF-2</p> 	Short hummocky, discontinuous, partly weak to diffuse and transparent, low to partly high-amplitude reflectors	Zechstein carbonates and sulphates
<p>SF-3</p> 	Short hummocky, discontinuous, thick, partly weak to diffuse, mostly high-amplitude reflectors	Buntsandstein sand- and limestones
<p>SF-4</p> 	Mainly parallel, discontinuous to partly continuous slightly transparent closely spaced, high-amplitude reflectors	Quaternary proximal alluvial-fan deposits
<p>SF-5</p> 	Shingled mound-shaped internal concentric, mainly continuous to partly transparent, partly high-amplitude reflectors	Quaternary lower to mid alluvial-fan deposits
<p>SF-6</p> 	Regular offset pattern of mainly subparallel high-amplitude reflectors	Faults

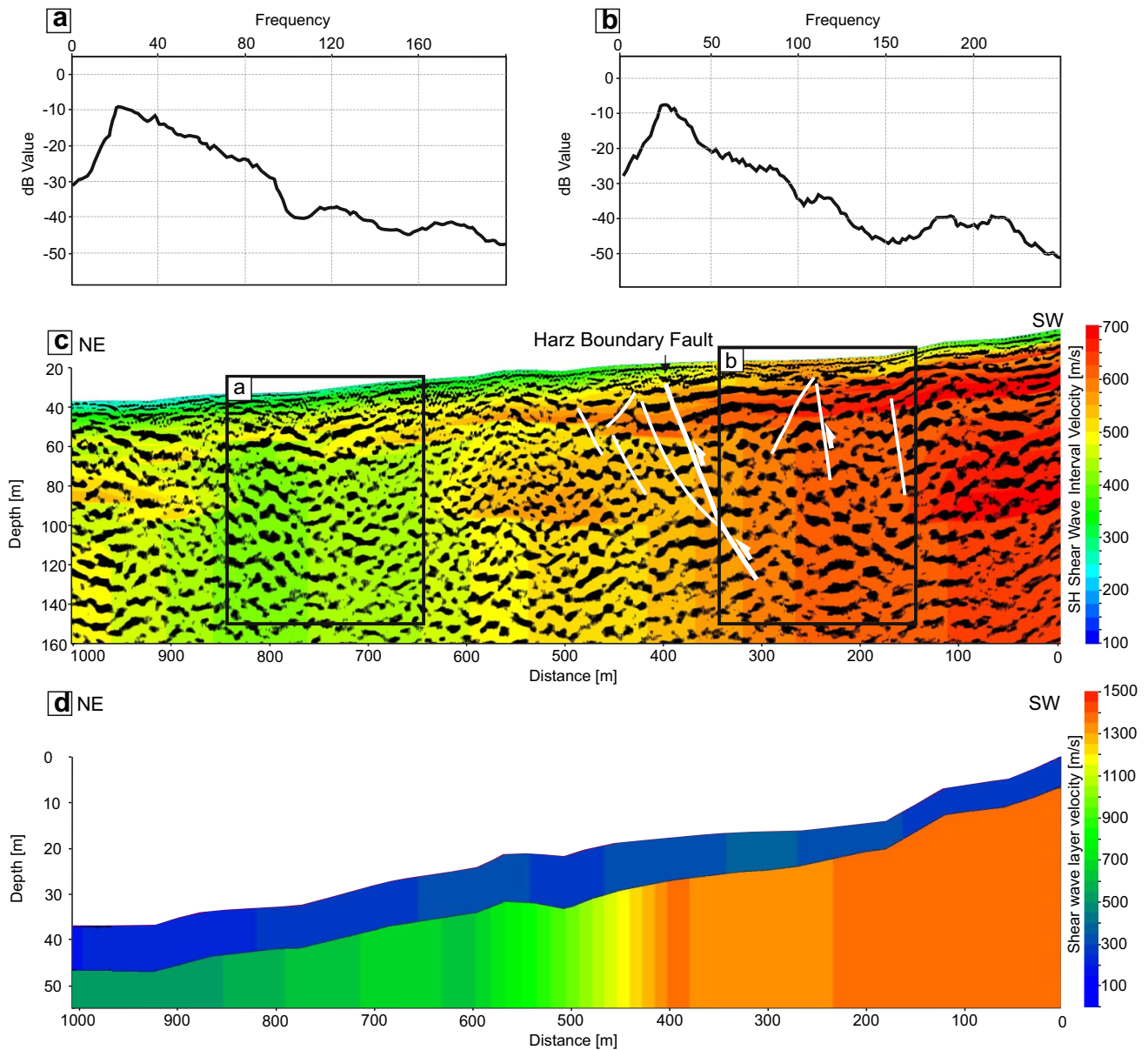
Fig. 10 Description and interpretation of the seismic facies

### Interpretation

The reflectors result from density variations within the steeply dipping rocks of the Harz Mountain foreland. Their discontinuous, short reflectors with the characteristic offset pattern points to displaced density variations in the subsurface and are, therefore, interpreted as faults (cf. Wadas et al. 2016).

### Larger scale subsurface architecture and fault systems

The visualization of the subsurface structure and the fault system is largely based on the shear wave profile (Fig. 9). The profile crosses the Harz Boundary Fault and covers parts of the foreland. To determine the vertical resolution of this seismic profile, the dominating frequencies were extracted from CMP 300–700 and 1300–1700 down



**Fig. 11** Local average amplitude spectra of the corresponding time section, shear wave interval velocities and shear wave refraction inversion results. **a, b** Two extracted average amplitude spectra of the corresponding time section visualize the lateral change in the frequency response in the time range 0–500 ms TWT, which corresponds to a depth range of ~0–150 m. The dominating frequencies (~30 Hz) extracted from window **a** CMP range 300–700 and corresponding shear wave velocities of ~600 m/s result in a vertical resolution of ~5 m; **b** CMP range 1300–1700 and shear wave velocities of ~450 m/s result in a vertical resolution of ~4 m; **c** colour-coded seismic profile by shear wave interval velocities derived from reflection seismic stacking velocities. The lateral variations in velocities image the response of the lateral succession of steeply dipping rock

units. Due to the averaging of the incoming and outgoing raypath velocity at a vertical velocity boundary of different rock units by the CMP ray fan methodology, these boundaries are not imaged in a precise, sharp manner, only the change of the average values along a vertical boundary are imaged; **d** results of the shear wave refraction inversion for a two-layer model (one layer above the half-space) based on the first break pick times of all seismic traces recorded. The upper layer represents the poorly consolidated Quaternary alluvial-fan deposits and the weathering zone of the rocks; the lower layer represents the bedrock (half space). The colour coding shows the calculated refraction layer velocities, which are varying from 200 to 350 m/s for the upper layer, and from 1500 m/s (SW) to 516 m/s (NE) for the half space

to 500 ms TWT, which represents approximately a depth of 0–150 m (Fig. 11a–c). The extracted frequencies are in a range of 30 Hz. For the SW part of the profile the frequency of 30 Hz, together with shear wave velocities of 600 m/s cause wavelengths of approximately 20 m and a resulting vertical resolution of about 5 m. In the NE part of the seismic profile, the shear wave velocities are lower with about 450 m/s, which results in a local vertical resolution of about 4 m. This is sufficient for the visualization of the structural elements related to the Harz Boundary Fault.

The seismic profile displays six different seismic facies. The lower seismic units comprise seismic facies 1–3, representing Carboniferous bedrock and steeply dipping Permian and Triassic rocks (Fig. 9a, b; cf. Schröder et al. 1927). SF-1 is located in the SW part (~0–440 m) of the section. SF-2 occur in the central part (~440–660 m) and SF-3 in the NE part (~660–1000 m) of the profile (Fig. 9a, b).

The steeply dipping rocks of partly SF-1, SF-2 and SF-3 do not allow a common analysis of the reflector pattern (cf. Yilmaz 1987). In this case, the reflectors do not represent bedding planes, because the sedimentary succession was strongly tilted during the uplift of the Harz Mountains. The reflections result from lateral changes in the physical properties of the rocks, caused by faults, fractures and small-scale density variations within the individual lithological units (cf. Woolery et al. 1993). The prominent lateral changes in the reflector pattern from low-amplitude discontinuous to higher amplitude discontinuous reflectors indicate the transition from the Upper Permian rocks to the rocks of the Lower to Middle Buntsandstein.

The bedrock is unconformably overlain by 7–17 m thick Quaternary deposits (Fig. 9a, b), (SF-4 and SF-5) that most probably represent Pleniglacial to Lateglacial alluvial-fan deposits (Fig. 10; cf. Roskosch et al. 2012; Meinsen et al. 2014). SF-4 occurs in the upper ~10 m at the SW part (~700–0) and SF-5 at the NE part (~1000–700 m) in the seismic profile (Fig. 9a, b). The stacking pattern indicates a prograding–retrograding fan system, which might have been controlled by changes in water discharge and sediment supply (cf. Meinsen et al. 2014).

SF-6 represents steeply dipping Permian rocks, in which faults displace density variations. These systematic offsets allow to interpret faults on the seismic profile. Comparable structures and interpretations were presented by Wadas et al. (2016) for Permian Zechstein rocks close to Bad Frankenhausen. These faults occur in the SW part of the seismic profile within SF-1 and in a 300 m wide zone between SF-1 and SF-2 (~150–500 m). Several synthetic and antithetic reverse faults are mapped that partly propagate into the overlying Late Pleistocene alluvial-fan deposits (SF-4 and SF-5; Fig. 9a, b). These SSW-ward dipping thrusts and NNE-ward dipping faults form a splay fault system, which developed

during the Cretaceous inversion phase. It is likely that the fault exposed in the sinkhole is one of these NNE-ward dipping back thrusts (Fig. 9a, b).

The seismic interval velocities (Fig. 11c) image the lithological changes along the seismic profile. The highest velocities in the SW correspond to the Carboniferous sandstones. Between 440 and 270 m, a significant decrease in the shear wave velocity is recorded, which images the transition between the Carboniferous sandstones and the Permian rocks. The floating decrease in the seismic interval velocity is caused by CMP ray velocity averaging and subsequent interpolation effects at the boundaries of the different lithologies and probably by the development of damage zones parallel to the faults of the splay fault system at the Harz Boundary (Figs. 1, 4, 9). The onset of lower shear wave interval velocities at approximately 600 m (Fig. 11c) images the boundary from the Permian rocks to the Triassic rocks.

The strong lateral change in seismic interval velocities (also referred to as layer inhomogeneity) is supported by the results of the shear wave refraction inversion (Fig. 11d) for a two-layer model (one layer above the half-space). It is only based on the first break pick times (i.e., only one sample of a recorded trace) of all seismic traces recorded, which results in a simplified velocity–depth model. The upper layer represents the Quaternary alluvial-fan deposits and the weathering zone of the rocks and the lower layer represents the bedrocks of the Harz Mountains and the Harz foreland area (also referred to as half space layer). The colour coding shows the calculated refraction layer velocities, which vary from 200 to 350 m/s for the upper layer (alluvial-fan deposits), and from 1500 m/s in the SW to 516 m/s at the NE section of the profile. The strong velocity drop at about ~400 m indicates the location of the Harz Boundary Fault system and thus the boundary between the Carboniferous sandstones and the Permian rocks.

## Age calculation of the debris-flow deposits

### Basal reddish debris-flow (DF-1)

Sample (Ben-2) provided reliable luminescence ages. The dose recovery ratios  $0.93 \pm 0.01$  for the pulsed  $IR_{50}$  signal and  $1.08 \pm 0.01$  for quartz OSL signal close to unity (0.9–1.1; Wintle and Murray 2006) show that the applied SAR protocols were suitable for the  $D_e$  measurements.

The pulsed  $IR_{50}$  signal of the fine grain fraction yielded a recycling ratio of  $1.04 \pm 0.03$  and the quartz OSL recycling ratio was  $1.02 \pm 0.05$ . The values are within 10% of unity (cf. Wintle and Murray 2006) and show that the SAR protocol corrected sensitivity changes successfully during the measurements.



Fading tests gave a mean  $g$ -value of  $1.4 \pm 0.2\%$  for the pulsed  $IR_{50}$  signal. The fading uncorrected pulsed  $IR_{50}$  age ( $12.8 \pm 0.7$  ka) was fading corrected based on Huntley and Lamothé (2001). The fading corrected polymineral fine grain age (feldspar) is  $14.2 \pm 0.8$  ka.

The estimated luminescence ages from the reddish debris-flow deposits (Ben-2) for feldspar and quartz are in agreement;  $14.2 \pm 0.8$  ka (polymineral, feldspar) and  $15.2 \pm 0.8$  ka (quartz).

**Whitish (DF-2) and yellowish debris-flow deposits (DF-3)**

No IRSL signal could be detected by measurements of the polymineral fine grain fraction of samples Ben-3–7. Although the natural OSL signal of Ben-3–7 was well below the saturation level of the laboratory dose response curve (with  $2D_0$  value  $> 600$  Gy), the quartz OSL of these samples was regarded as in saturation. Chapot et al. (2012)

showed that although the laboratory OSL dose response curve continued to grow, the natural OSL signal saturated at  $\sim 150$  Gy. Therefore, all quartz  $D_e$  values  $> 150$  Gy were considered in saturation. Consequently, the calculated ages of samples Ben-3–7 are minimum ages of the deposits before transport (Table 1) or the samples were insufficiently exposed to daylight prior to deposition, which resulting in age overestimation.

The quartz and fading corrected feldspar ages are listed in Table 1.

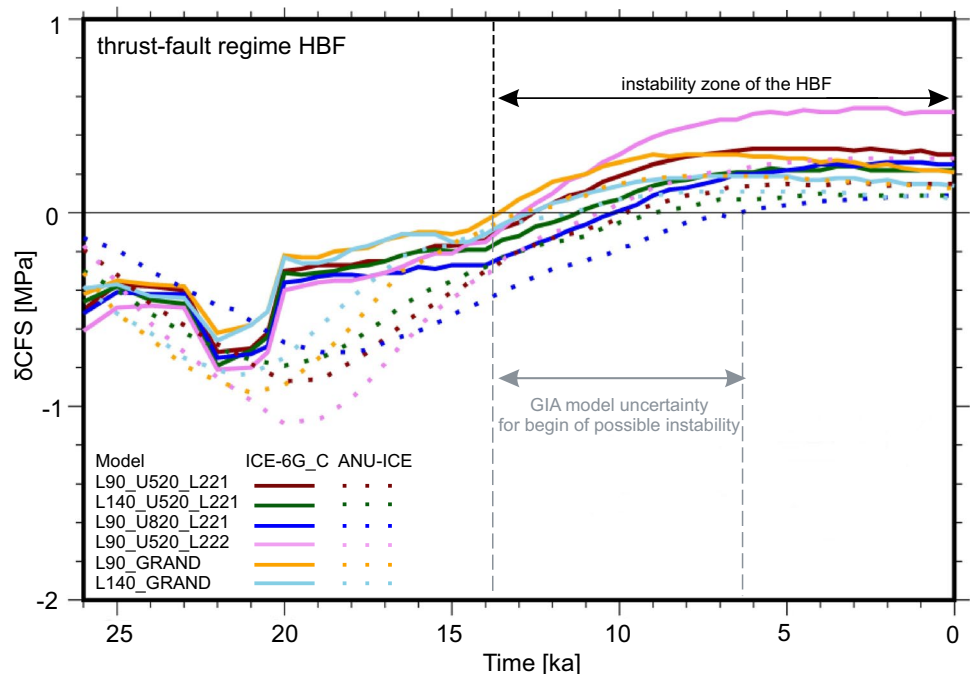
**Numerical simulations**

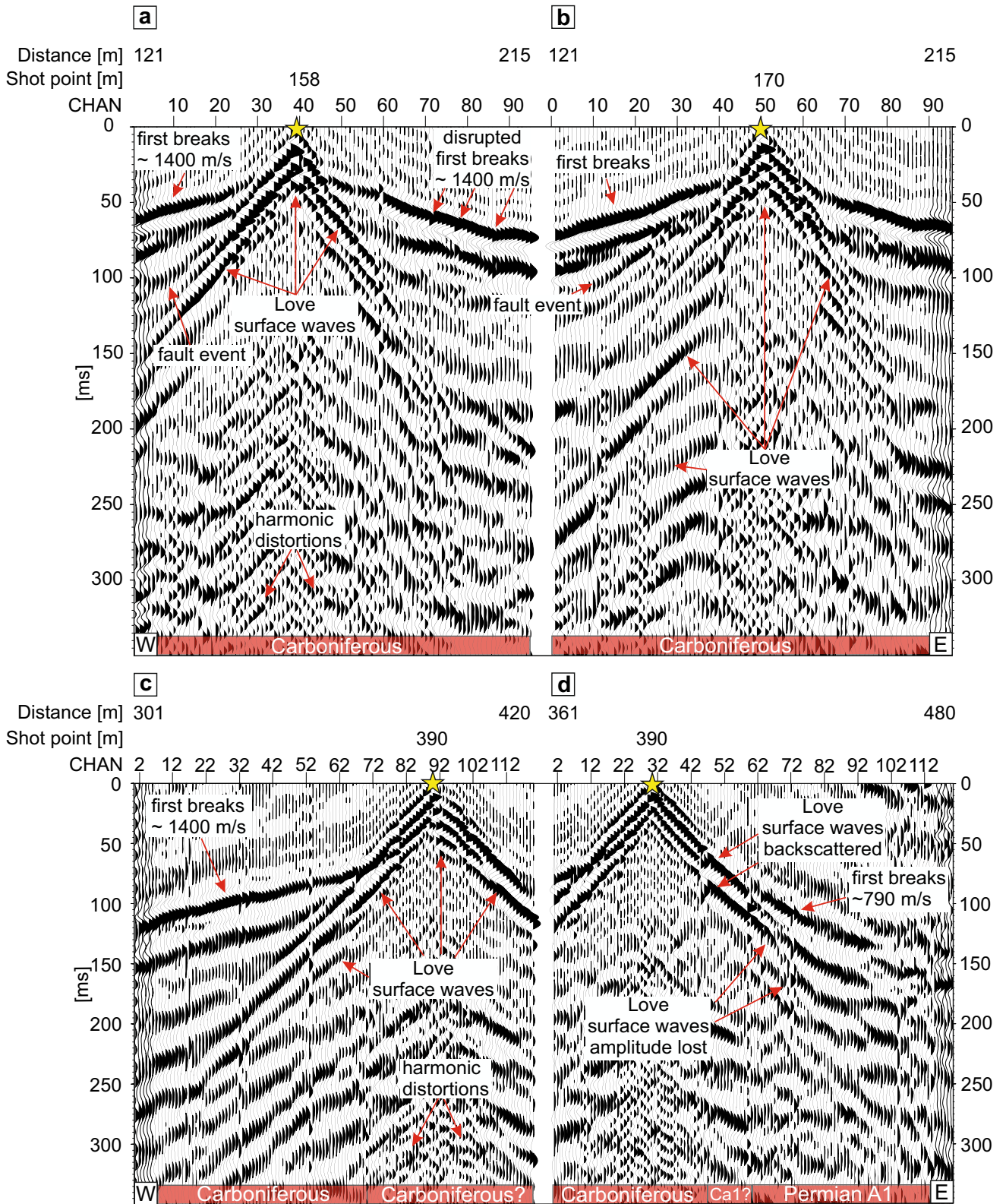
The results of the numerical simulations are shown in Fig. 12. The coloured solid lines represent the results for the North-European part of the global ice model ICE-6G and the dashed coloured lines for the ANU-ICE ice history model. The time when  $\delta CFS$  becomes positive the

**Table 1** Quartz and feldspar luminescence ages from Benzingerode

Sample	Debris-flow	Quartz		Feldspar	Uncorr	Corr
		$D_e$ (Gy)	Age (ka)		Age (ka)	Age (ka) corr
Ben-2	DF-1	$64.3 \pm 0.7$	<b><math>15.2 \pm 0.8</math></b>	$60.5 \pm 0.5$	$12.6 \pm 0.6$	<b><math>14.2 \pm 0.8</math></b>
Ben-3	DF-2	$> 378 \pm 12$	$> 123 \pm 9$	–	–	–
Ben-4	DF-2	$> 273 \pm 13$	$> 119 \pm 11$	–	–	–
Ben-5	DF-3	$> 217 \pm 7$	$> 124 \pm 13$	–	–	–
Ben-6	DF-3	$> 356 \pm 11$	$> 164 \pm 14$	–	–	–
Ben-7	DF-3	$> 285 \pm 5$	$> 109 \pm 8$	–	–	–

**Fig. 12** Modelling results for a thrust faulting stress regime with the development of the change in Coulomb failure stress at the Harz Boundary Fault over the last 26 ka in a depth of 12.5 km. The simulation was performed with two different ice history models. The solid lines represent the results for the North-European part of the global ice model ICE-6G\_C. The second ice history model (dashed lines) is the ANU-ICE ice history model. The first L is the lithospheric thickness being 90 or 140 km; U is the upper mantle viscosity with  $5 \times 10^{20}$  [520] or  $8 \times 10^{20}$  [820] Pa s; the second L is the lower mantle viscosity with  $2 \times 10^{21}$  [221] or  $2 \times 10^{22}$  [222] Pa s; GRAND represents a laterally varying upper and lower mantle viscosity model





**Fig. 13** Four shot gather examples (vibroseis correlation, automatic gain control 100 ms window, and bandpass filter 20–22–90–105 Hz applied) along profile meter 121–215 (**a**, **b**), profile meter 301–420 (**c**) and profile meter 361–480 (**d**). Recording duration is 350 ms. Channel numbers and distance along the profile are shown. Individual seismic source locations are labeled by a yellow star. The shot gathers at profile meter 158 m (**a**) and 170 m (**b**) are located within the Carboniferous sandstones. The high shear wave velocity of nearly 1500–1400 m/s is characteristic for these sandstones. Small interruptions are caused by the potential damage zone of the Harz Boundary Fault. A significant drop in the shear wave velocity to 790 m/s points to a strong lithological contrast. The refracted waves also give evidence for a thin sliver of Permian Werra dolomite (Ca1) and Permian Werra sulphate (A1) (**c**, **d**). Further elements of the surrounding wave field like first breaks, Love surface waves and harmonic distortions are additionally denoted for differentiation. For location of shot point gather examples see Fig. 9b

coloured lines cross the threshold from the stable zone into the unstable zone, which marks the onset of possible fault motion. Due to the relative character of the  $\delta$ CFS, it is independent of how large (or small) the positive values of the  $\delta$ CFS are.

In a thrust-faulting regime (Fig. 12), the zero line is crossed mainly between 13.8 and 10.3 ka for the ICE-6G\_C ice model and between 13.2 and 6.3 ka for the ANU-ICE ice model, suggesting that a fault in 12.5 km depth became instable at that time and was probably reactivated. This timing falls into the deglaciation process of the Late Pleistocene (Weichselian) ice sheet and suggests a fault reactivation triggered by this process.

## Discussion

Central Europe was affected by repeated glaciations since the Middle Pleistocene (Ehlers et al. 2011; Roskosch et al. 2015; Lang et al. 2018). Loading and unloading by ice sheets influenced the lithosphere (Thorson 2000) and resulted in stress modifications (Wu 1997; Stewart et al. 2000), which may lead to the tectonic reactivation of regional fault systems (e.g., Brandes et al. 2011, 2012; Brandes and Winsmann 2013; Brandes et al. 2015, Pisarska-Jamroży et al. 2019).

### Structural geology, electrical resistivity tomography and seismic interpretation

Similar to Franzke et al. (2015), we interpret the fault that is exposed in the sinkhole, as a result of neotectonic activity along the Harz Boundary Fault system. This small fault shows a WNW-ESE strike (Figs. 3, 6) that implies a close connection to the Harz Boundary Fault that has a similar strike as indicated on the geological map (Schröder et al. 1927). This interpretation is supported by the seismic data that show synthetic and antithetic faults (Fig. 9). The seismic

profile gives evidence that the Harz Boundary Fault is not a discrete fault but rather represents a splay of several faults. Such a structure is typical for the bounding faults of major basement blocks. Comparable splay fault systems are well known from the Laramide uplifts in the USA (Erslev 1986; Neely and Erslev 2009; Yonkee and Weil 2015). The fault which is exposed in the sinkhole is probably a back thrust of this splay fault system (Fig. 9). Based on the ERT profiles the fault exposed in the sinkhole can be traced for at least 50 m parallel to the Harz Boundary Fault (Fig. 8).

The fault interpretation shown in Fig. 9b is also supported by the analysis of the refracted shear waves. Based on four shot gather examples (Fig. 13) extracted at profile meter 158 m, 170 m and two at 390 m, the velocity structure is shown. The shot gathers at profile meter 158 and 170 (Fig. 13a, b) are located within the Carboniferous sandstones (cf. Schröder et al. 1927). The documented drop in the shear wave velocity is interpreted as a result of fracturing in a damage zone parallel to a fault. The refracted shear wave in the Carboniferous sandstones with a velocity of up to 1500 m/s (~ 1400 m/s at the position of the shot gathers in Fig. 13) is characterized by small interruptions in the potential damage zone of the Harz Boundary Fault, likely caused by fractures within the Carboniferous rocks (Fig. 13a). The fault interpretation is supported by reflector offsets. We interpret this fault as a branch that is located south of the main fault.

The shear wave velocity of up to 1500 m/s (corresponding to a P-wave velocity of > 3000 m/s) is characteristic for the Carboniferous sandstones below the poorly consolidated Pleistocene alluvial-fan deposits (Figs. 11, 13). Compared to the shot gathers shown in Fig. 13a–c the shot gather Fig. 13d shows a significant drop in the shear wave velocity to 790 m/s, which points to a strong lithological contrast. We interpret this as indicator for the position of the main fault, between the high-velocity Carboniferous sandstones and the juxtaposed rather low-velocity Permian rocks.

The refracted shear wave signatures in the shot gather shown in Fig. 13d also give evidence (by the disruption of the first break signature and backscattered Love surface waves) for a thin sliver of Permian Werra dolomite NE of the main fault that is also supported by the results of the shear wave refraction inversion (Fig. 11d, orange to yellow shear wave layer velocity zone at approximately 400–450 m; Fig. 11d).

The results of the seismic refraction inversion (Fig. 11d) allow to delineate the different rock lithologies and match with the interval velocity coded seismic profile (Fig. 11b). Both show a laterally decreasing pattern of high velocities in the SW and lower velocities in the NE, reflecting the lateral succession steeply dipping rock units.

## Timing of fault movements

The results of luminescence dating show that the age of the lower reddish debris-flow deposit (DF-1) ranges between  $14.2 \pm 0.8$  ka (feldspar pulsed IR<sub>50</sub>) and  $15.2 \pm 0.8$  ka (quartz OSL). Since IR<sub>50</sub> signal of feldspar bleaches one order of magnitude slower than the quartz OSL, the agreement of ages indicates that both sediments were well bleached before deposition (Murray et al. 2012). The ages imply that fault movements took place after  $\sim 15$  ka. The younger yellowish debris-flow deposit (DF-3), that seals the tip of the fault, did not give reliable luminescence ages. The quartz minerals in the sediment are regarded as in saturation. However, it is likely that the uppermost debris-flow deposit is also Lateglacial in age. During the Holocene, a stabilizing vegetation cover rapidly developed on hillslopes most likely preventing erosion and mass movements (cf. Litt et al. 2007; Meinsen et al. 2014).

The age of the alluvial-fan deposits is unknown. Reinecke (2006) assumed a Late Pleniglacial to Lateglacial age for alluvial-fan deposits in the Harz foreland area. The study of Meinsen et al. (2014) from the Senne area implies that the onset of wide-spread alluvial-fan deposition started during the late Middle to Late Pleniglacial ( $29.3 \pm 3.2$  ka) and was probably related to the decreasing temperatures at the end of MIS 3. Strong progradation of alluvial fans correlates with early MIS 2, which is attributed to the decrease of a stabilizing vegetation cover, an increase in water discharge and runoff rates from the catchment areas. The subsequent phase of fan aggradation and retrogradation indicates decreasing discharge and an increase in sediment supply. Fan aggradation in the Senne area ceased at around  $19.6 \pm 2.1$  to  $18.7 \pm 1.9$  ka (Roskosch et al. 2012; Meinsen et al. 2014), when polar desert conditions began to establish, and arid conditions prevailed, resulting in the widespread deposition of aeolian loess, sand-sheets and dunes. This stacking pattern can also be observed in the alluvial-fan deposits of the study area (Seismic profile, SF-4 and 5), pointing to a similar age.

All estimated ages imply a Lateglacial fault activity and would correspond to the time interval of fault reactivation along the Osning thrust and the Sorgenfrei-Tornquist Zone (Brandes et al. 2012, 2018b; Brandes and Winsemann 2013).

## Possible trigger for fault development

### Dissolution and migration of Zechstein rocks

The sinkhole, in which the fault is exposed, developed above steeply dipping sulphate rocks of the Zechstein Werra sequence (Fig. 3). The observed initial normal fault movement could therefore be related to dissolution processes and sinkhole formation (cf. Poppe et al. 2015) or gravitational deformation as a consequence of slope failure (cf. Gardner

et al. 1999) and does not require a neotectonic trigger mechanism. Non-tectonic normal faults that were induced by dissolution processes are reported e.g., from SE Utah, (USA) (Guerrero et al. 2015). However, the reverse fault movement, which is indicated on the fault surface by striations cannot be explained by slope failure. Recent experimental studies on sinkhole formation show the development of a set of ring faults (e.g., Poppe et al. 2015). We rule out that the observed fault belongs to such a sinkhole-related ring fault system, because in the sinkhole only one fault is exposed and in a nearby sinkhole (a few *m* distance), no fault is exposed. Ring faults evolving during the sag process cannot explain the observed evolution of the fault in the sinkhole. In addition, based on ERT profiles and the seismic profile, the lateral extent of the NNE-ward dipping fault was mapped (Figs. 8, 9). The results point to a straight fault that runs parallel to the Harz Boundary Fault. Therefore, we rule out dissolution processes as a driver for fault evolution. Paul (2019) discussed the role of Zechstein salt dissolution and migration for basin-wide subsidence in the Harz foreland area. He assumes that no active tectonic uplift of the Harz Mountains has occurred since the Neogene and the apparent relative uplift is caused by subsidence of the foreland basins. As discussed before, we cannot rule out dissolution processes as a potential trigger for normal faulting. However, the two-fold fault kinematics with initial normal faulting followed by reverse and strike-slip movements is difficult to explain with dissolution. Collapse and block rotation processes cannot explain the striations with oblique reverse movement.

We rule out salt migration in the vicinity of the Harz Boundary Fault as proposed by Paul (2019), because cross-sections of Baldschuhn et al. (1996) show a salt weld directly north of the range front. The lack of a source layer with a significant thickness makes an effective salt migration unlikely in this part of the Subhercynian Basin.

### GIA as possible trigger for fault activity

Comparable observations of young normal fault movements that were followed by reverse faulting were made by Brandes et al. (2012) and Brandes and Winsemann (2013) at the Osning thrust. The kinematic behaviour is interpreted as a consequence of deformation in the area of the Late Pleistocene Weichselian glacial forebulge. The study area was affected by this forebulge, which was located several 100 km in front of the ice sheet (Kiden et al. 2002; Nocquet et al. 2005; Busschers et al. 2008; Sirocko et al. 2008; Kierulf et al. 2014; Winsemann et al. 2015). The formation, migration and collapse of the glacial forebulge induced a complex stress pattern in the lithosphere that varied in space and time and could have caused the reactivation of pre-existing faults (cf. Stewart et al. 2000). Moreover, Wu (1997) has shown that GIA can lead to tensional stresses in the forebulge area, with

values exceeding 10 MPa during full glaciation, although the tectonic background stress regime is thrusting.

The collapse of the forebulge is still ongoing in northern Germany. The recent maximum subsidence rate is 1.0–1.5 mm/year at latitudes between 50.5 and 53° N (Frischbutter, 2001; Nocquet et al. 2005). The tectonic activity along the Harz Boundary Fault system is probably a consequence of the forebulge development and decay, comparable to the similar tectonic evolution as observed at the Osning thrust.

The forebulge area of the older, Middle Pleistocene ice sheets in northern Germany is not exactly known. The Elsterian and Saalian post-glacial re-directions of the rivers Weser and Leine, southwest of the study area, might have been caused by GIA (Winsemann et al. 2015).

With numerical simulations, it is possible to analyse the interplay of glaciation-induced stress changes and fault reactivation (Wu and Hasegawa 1996a, b; Hetzel and Hampel 2005; Turpeinen et al. 2008; Hampel et al. 2009; Steffen et al. 2014b; Hampel 2017). Modelling results of Grollmund and Zoback (2001) and Hampel et al. (2009) imply that post-glacial tectonic activity is possible in areas that are located outside former ice sheets.

Due to the ongoing collision of Europe and Africa, the most suitable results are delivered by a thrust-faulting regime model (Fig. 12), because in parts of northern Germany, recent horizontal compression occurs. The  $S_{Hmax}$  direction shows a fan-like pattern with small deviations from NW to SE in the western regions to NE–SW in the eastern regions (Marotta et al. 2001, 2002, 2004; Kaiser et al. 2005; Heidbach et al. 2016). Hence, based on the thrust-fault regime results, which imply fault activity after 13.8–6.3 ka, combined with the results of the luminescence dating of the debris-flow deposit, which imply fault activity after ~ 15 ka, it can be assumed that the reactivation as oblique reverse fault with strike-slip components, is probably triggered by the decay of the Late Pleistocene (Weichselian) ice sheet in the Lateglacial.

Based on field data and numerical simulations, Brandes et al. (2012, 2015) showed that the Lateglacial seismicity and the historic earthquakes in northern Central Europe were triggered by stress changes related to GIA. The results of this study imply that the Harz Boundary Fault also underwent a similar reactivation during the decay of the Fennoscandian ice sheet.

## Conclusion

Based on outcrop analyses, luminescence dating, ERT profiles and shear wave seismic data we present new structural data of the Harz Boundary Fault and evidence for GIA-related neotectonic movements in this region. A sinkhole

exposes a fault that is most likely related to the Harz Boundary Fault. The shear wave seismic profile shows that the Harz Boundary Fault is a splay fault system in this area. Luminescence dating of faulted debris-flow deposits indicates fault movements after ~ 15 ka. The timing points to movements along the Harz Boundary Fault system as a consequence of stress changes induced by the decay of the Late Pleistocene (Weichselian) Fennoscandian ice sheet. This assumption is supported by numerical simulations of GIA-related change in Coulomb failure stress. Modelling results for a compressional regime assumed for this area show that a possible reactivation of the Harz Boundary Fault was between 13.8 and 6.3 ka. This matches with the luminescence dating ( $14.2 \pm 0.8$  ka polymineral, feldspar;  $15.2 \pm 0.8$  ka quartz), which implies that fault movement occurred after ~ 15 ka and supports the idea that the Harz Boundary Fault system was reactivated during the Lateglacial. Furthermore, this time of fault movement matches also with data from the Osning thrust and the Sorgenfrei-Tornquist Zone.

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